



RCE/2643  
A

PTO/SB/30 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/190,207
Filing Date	November 13, 1998
First Named Inventor	Chen
Art Unit	2643
Examiner Name	Nguyen
Attorney Docket Number	Chen-4

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to:  
Deposit Account No. 50-0687. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 1220.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>William H. Bollman</i> (#46,504) for	Date	November 22, 2004
Name (Print/Type)	William H. Bollman	Registration No.	36,457

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	_____
Name (Print/Type)	_____
Date	_____

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

NOV 26 2004

Technology Center 2100

11/23/2004 EABUBAK1 00000035 09190207

790.00 0P

01 FC:1801



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER (Large Entity)**

Application Number: 09/190,207

Group Art Unit: 2643

Filed: November 13, 1998

Examiner Name: Nguyen

Applicant: Chen

Attorney Docket Number: 73-853

TITLE: METHOD AND APPARATUS FOR REGULARIZING MEASURED HRTF FOR  
SMOOTH 3D DIGITAL AUDIO

Total Number of Pages in this Submission: 10

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

RECEIVED

NOV 26 2004

SIR:

Technology Center 2100

Transmitted herewith is  
an amendment in the above-identified application ( 9 Pages)

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER Amendment	HIGHEST # PREV. PAID FOR	# OF EXTRA CLAIMS	RATE	ADDITIONAL FEE
Total Claims	12	20	0	x \$18 =	\$ 0.00
Independent Claims	6	6	0	x \$84 =	\$ 0.00
Multiple Dependent Claim(s), if applicable				x \$280=	
TOTAL ADDITIONAL FEE:					\$ 0.00

**Petition for Extension of Time under 37 C.F.R. 1.136(a)** Applicants hereby petition the Commissioner for **TWO month** extension of time to file a response to the Office Action dated June 22, 2004 from September 22, 2004 to November 22, 2004, under 37 C.F.R. 1.136(a) in the above identified application. The petition fee for the **TWO month** extension of time, \$430.00, is enclosed.

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. 1.16 or any patent application processing fees under 37 C.F.R. 1.17 associated with this communication, or credit any over payment to **Deposit Account No. 50-0687 under Order No. 73-853**

11/23/2004 EABUBAK1 00000035 09190207

02 FC:1252

430.00 DP

Respectfully submitted,

*William H. Bollman* (#46,504) for  
William H. Bollman  
Reg. No.: 36,457  
Attorney for Applicant(s)

Date: November 22, 2004

Manelli Denison & Selter PLLC  
2000 M Street, NW Suite 700  
Washington, DC 20036-3307  
(202) 261-1020